Policy on Research Incentives

Message from the President, JAIN (Deemed to-be University)

The **JAIN (Deemed to-be University)** has strived, in each of its actions, to uphold the highest tradition as laid down in its vision and mission statement. Having placed Nation Building as its main focus, the University has constantly endeavoured to promote excellence and quality in the fields of research, education and entrepreneurial development.

The Deemed University status was conferred to us in view of our proven capability to usher in quantum innovation in our chosen area of expertise and ensure that learners who have opportunity to be part of the University, receive quality input in a congenial environment that makes the process of learning a fulfilling and enriching experience. The profile, activities, progress and achievements of the University during the past nine years provides conclusive evidence that it has carved a niche for itself as an Institution committed to excellence.

The **JAIN (Deemed-to-be University)** has now come out with a Policy on Research Incentives to give a boost to the research initiatives of our faculty members. The Policy aims at promoting and sustaining the Research Culture in our University. This will also motivate the faculty members and researchers to come out with quality output.

I hope this Policy would be internalized by each stake holder of the University and each of us would take definite steps towards making significant contributions to the community and the Nation at large.

Dr. Chenraj Roychand

Policy on Research Incentives

Preamble

Research is an important and an integral component of the mission of **JAIN (Deemed-to-be University)**. A clearly laid down policy on research incentives is an important means of promoting and sustaining the research culture in our University. Further, such a policy will help recognize and reward good quality research output of faculty members. The objective of this document is to establish the guiding principles for recognizing quality research output. **JAIN (Deemed-to-be University)** hereinafter referred to as **JAIN (Deemed-to-be University)** hereby provides the necessary framework of research incentives conforming to the following tenets:

- **JAIN** encourages varying points of view, inquiry, thought and expressions free of ideology or coercions of any type for the benefit of mankind.

- **JAIN** members engaged in Research are open to select topics/domains in their area of competence or in collaboration with other faculty members, seek financial assistance from genuine sources with the approval of the **JAIN (Deemed-to-be University)** and be solely responsible for their outcome and conclusions.

- **JAIN** shall attempt to provide an atmosphere conducive to undertaking Research to all interested and motivated faculty to undertake the same.

- **JAIN** shall sensitize all concerned to ensure authorship rights & responsibilities of students, co-investigators and others associated with the research outcome. **JAIN** shall conduct regular surveys to verify on academic authorship of any publication with regard to the research outcomes.

- **JAIN** affirms to provide research opportunities to all concerned without bias to gender, caste, creed, religion and race.

- **JAIN** advocates the philosophy of shared ownership of Intellectual Property Rights (IPR) with regard to all scholarly pursuits.

- This policy on Research Incentives is subject to review by the Research Committee of the **JAIN**, constituted whose composition is indicated in **ANNEXURE I**. The **JAIN** may update and announce any detailed implementing procedure(s) on this policy from time to time as necessary. Any revision in the policy shall be announced publicly through the **JAIN** website and /or other suitable means.

1. **Applicability of the Policy on Research Incentives**

   This policy shall apply to:

   - Full time Teaching Faculty Members of the **JAIN**, Faculty Members of the **JAIN** involved in full time research and adjunct faculty.

   - Publications by **JAIN** members in:
     - ➢ Scopus indexed journals
     - ➢ Web of Sciences(Science Citation index, Social Science Citation index, Arts & Humanities Citation index)
     - ➢ UGC approved list of Journals (other than those already indexed in Scopus and Web of Science)

   - Research projects funded by external agencies exceeding Rs.10 lakhs in value.

   - Research papers published with **JAIN** affiliation.

2. **Relevant Period / Effective Date**

   - The relevant period shall be the calendar year for research publications and financial year for projects.

   - The effective date for research publications shall be the date of publication online.

3. **Members eligible for incentives**

   - Full time teaching faculty members of the **JAIN**: This refers to teaching faculty employed in Schools of the **JAIN** offering UG / PG programmes who have the teaching workload as specified by **JAIN** from time to time.

   - Adjunct faculty: This refers to teaching faculty employed in Schools of the **JAIN** offering UG / PG programmes for a specified number of days in a week, who have the teaching workload as specified by **JAIN** from time to time.

   - Faculty Members of the **JAIN** involved in full time research: This refers to faculty employed in Research Centres or in the Schools of the University, whose primary responsibility is to procure and deliver output in funded research projects, publication of research papers in reputed journals with a high impact factor, procuring & executing consultancy projects, guiding Ph. D scholars, publication of books and book chapters, filing for patents and commercialization of technology.

   - Principal Investigator **(PI)**: This refers to faculty employed in Schools / Research Centre of the **JAIN** who procures an externally funded- project.

   - Co-Investigator **(Co-PI)**: Each project shall necessarily have a Co-investigator, who complements the **PI** and the CO-PI **must** be from **JAIN**.

4. Publications in Scopus / Web of Science indexed journals

- Scopus is a bibliographic database containing abstracts and citations for academic journal articles. It covers nearly 22,000 titles from over 5,000 publishers, of which 20,000 are peer-reviewed journals in the scientific, technical, medical, and social sciences.

- The Web of Science platform connects the Web of Science Core Collection to regional citation indexes, patent data, specialized subject indexes, and an index of research data sets, all in all totalling over 33,000 journals.

5. Publications in Journals included in UGC- CARE List Group-(I) other than in Scopus / Web of Science Indexed journals.

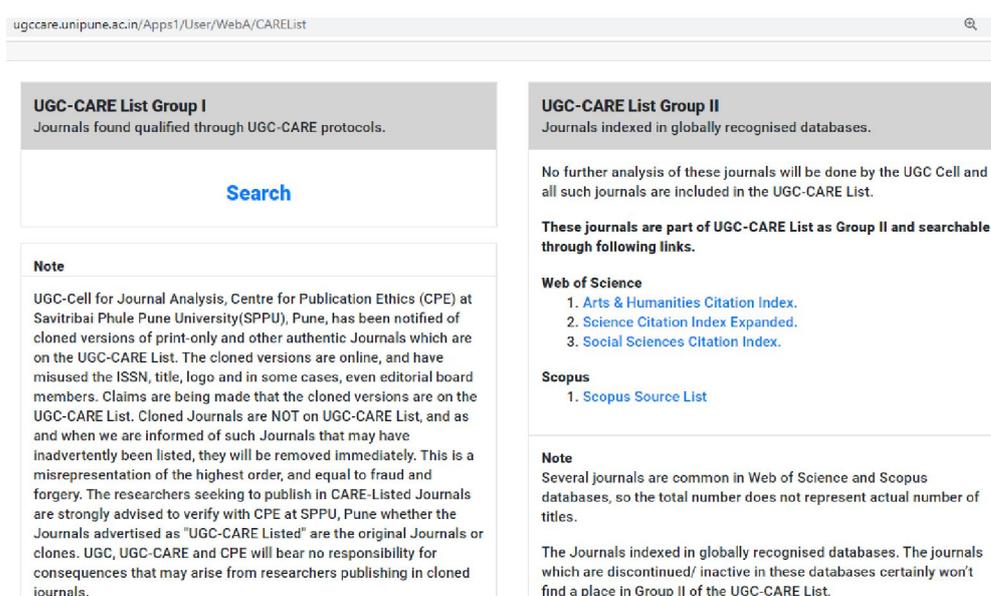The UGC-CARE List of Journals under Group- I include Journals that have been qualified through UGC- Care Protocols is available at ("https://www.ugc.ac.in/pdfnews/8378640_Public-Notice-CARE-14-01-2019.pdf.")

6. Validation Process
- The **JAIN (Deemed-to-be University)** shall follow the following validation process while evaluating research papers:

  For publications listed in Scopus / Web of Sciences databases

  ➢ Reference shall be made to the UGC CARE database (Group-II) of approved journals ("https://ugccare.unipune.ac.in/Apps1/User/WebA/CAREList")

ugccare.unipune.ac.in/Apps1/User/WebA/CAREList

UGC-CARE List Group I
Journals found qualified through UGC-CARE protocols.

Search

Note

UGC-Cell for Journal Analysis, Centre for Publication Ethics (CPE) at Savitribai Phule Pune University(SPPU), Pune, has been notified of cloned versions of print-only and other authentic Journals which are on the UGC-CARE List. The cloned versions are online, and have misused the ISSN, title, logo and in some cases, even editorial board members. Claims are being made that the cloned versions are on the UGC-CARE List. Cloned Journals are NOT on UGC-CARE List, and as and when we are informed of such Journals that may have inadvertently been listed, they will be removed immediately. This is a misrepresentation of the highest order, and equal to fraud and forgery. The researchers seeking to publish in CARE-Listed Journals are strongly advised to verify with CPE at SPPU, Pune whether the Journals advertised as "UGC-CARE Listed" are the original Journals or clones. UGC, UGC-CARE and CPE will bear no responsibility for consequences that may arise from researchers publishing in cloned journals.

UGC-CARE List Group II
Journals indexed in globally recognised databases.

No further analysis of these journals will be done by the UGC Cell and all such journals are included in the UGC-CARE List.

These journals are part of UGC-CARE List as Group II and searchable through following links.

Web of Science
1. Arts & Humanities Citation Index.
2. Science Citation Index Expanded.
3. Social Sciences Citation Index.

Scopus
1. Scopus Source List

Note
Several journals are common in Web of Science and Scopus databases, so the total number does not represent actual number of titles.

The Journals indexed in globally recognised databases. The journals which are discontinued/ inactive in these databases certainly won't find a place in Group II of the UGC-CARE List.

➢ The Scopus database shall be referred to establish indexation of the article and the **SNIP**(Source Normalized Impact Factor Per Paper) score ("https://www.scopus.com/sources?sortField=&metricName=&sortDirection=&navTo=ALL&offset=1&displayAll=true&sortPerformedState=&sortDirectionMOne=&sortDirectionMTwo=&metricDisplayIndex=0&subjectArea=&typeFilter=&subscriptionFilter=&openAccessDisplay=&tabName=browseSourceTab&bMark=Y&txGid=210e0b9f950698ef4faba0650e54187d ")

➢ Sample search result from Scopus database is given below :

1 title

↧ Download Scopus Source List ⓘ

View metrics for year: 2017 ⌄

Source title ↓	CiteScore ↓	Highest percentile ↓	Citations ↓	Documents ↓	% Cited ↓	SNIP ↓	›
Ceramics International	2.85	84% 39/255 Materials Chemistry	19712	6917	78	1.167	

Display: 20 ⌄ results per page   1   ⌃ Top of page

➢ The Web of Science database shall be referred to in addition to Scopus, where needed ("http://mjl.clarivate.com/") & (http://mjl.clarivate.com/publist_sciex.pdf)
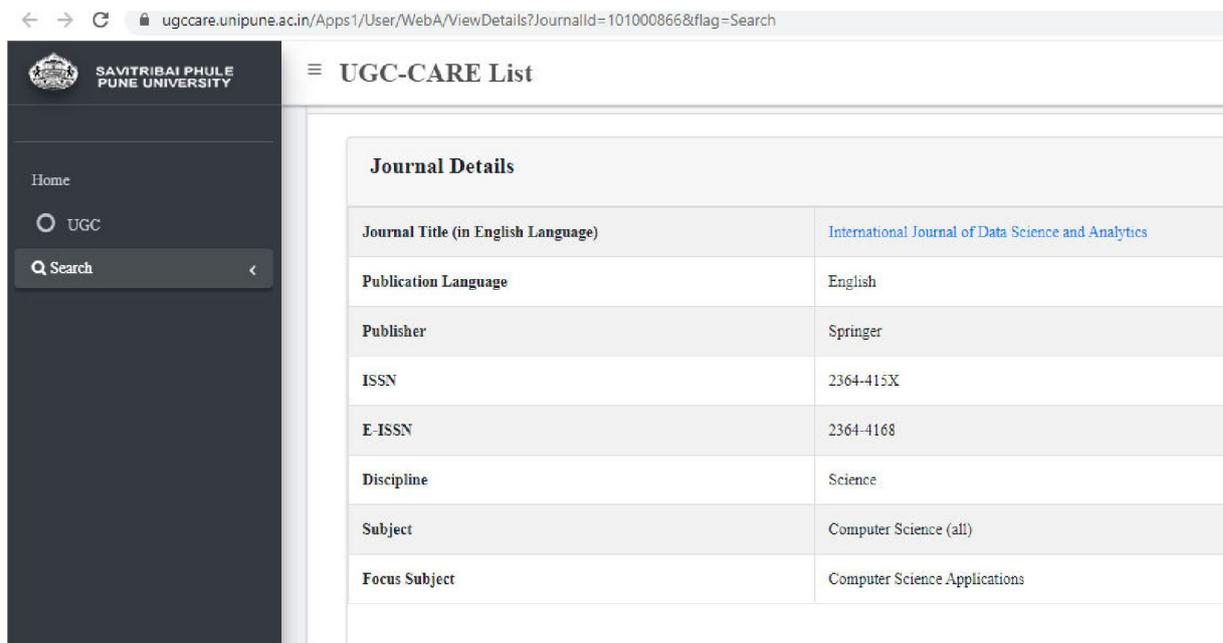
➢ Sample search result is given below :

↓ | 66 / 354 | ⊖ ⊕ | 125% ⌄ | | 🖫 🖾 | 💬 💬 | 🖳

Abbreviated Title	Full Title	Country	SCIE	SSCI
CENT EUR J MATH	Central European Journal of Mathematics	Poland	✓	
CENT EUR J MED	Central European Journal of Medicine	Poland	✓	
CENT EUR J OPER RES	Central European Journal of Operations Research	Germany	✓	
CENT EUR J PHYS	CENTRAL EUROPEAN JOURNAL OF PHYSICS	Poland	✓	
CENT EUR J PUBL HEAL	Central European Journal of Public Health	Czech Republic	✓	✓
CEPAL REV	Cepal Review	Chile		✓
CEPHALALGIA	CEPHALALGIA	Norway	✓	
CERAM INT	CERAMICS INTERNATIONAL	England	✓	
CERAM-SILIKATY	CERAMICS-SILIKATY	Czech Republic	✓	
CEREAL CHEM	CEREAL CHEMISTRY	United States	✓	
CEREAL FOOD WORLD	CEREAL FOODS WORLD	United States	✓	
CEREAL RES COMMUN	CEREAL RESEARCH COMMUNICATIONS	Hungary	✓	
CEREBELLUM	CEREBELLUM	United States	✓	
CEREB CORTEX	CEREBRAL CORTEX	United States	✓	
CEREBROVASC DIS	CEREBROVASCULAR DISEASES	United States	✓	
CERNE	Cerne	Brazil	✓	

GC-CARE List of Journals under Group-(I) approved list of Journals (other than those already indexed in Scopus and Web of Science)

A sample search result of a research paper included in the UGC-CARE approved list of journal under the category, though not indexed in Scopus/ Web of Science is given below:
("https://ugccare.unipune.ac.in/Apps1/User/WebA/SearchList")

7. **Award of Incentives for research papers.**

    a. **Publication in journals indexed in Scopus/ Web of Science databases by Full Time Teaching Faculty Members of the University and Adjunct Faculty.**

    The incentives shall be as follows:
    - Research papers published in Scopus / Web of Science databases with **SNIP / JIF** (Journal Impact Factor) score between 0.5 and 1.0 : Rs 5,000/- per paper.

    - Research papers published in Scopus / Web of Science databases with **SNIP / JIF** score between 1.01 and 2.99 : Rs 10,000/- per paper.

    - Research papers published in Scopus / Web of Science databases with **SNIP / JIF** score above 3.0 : Rs 15,000/- per paper.

    - In the event of single author research papers, the full amount shall be paid to the author.

    - In the event of two authors, the corresponding author will get 60% and the other author, the balance.

- In the event of multiple contributors for a research paper, the said amount shall be shared in the following proportion: First Author 40%; Corresponding Author 30%; Remaining contributors 30% to be shared equally. If the corresponding author coordinates a research project on which the said article is based, the sharing will be the First Author 30%; Corresponding Author 40%; Remaining Contributors 30% to be shared equally.

- In the event the first author is also the corresponding author, then the amount shall be shared in the following proportion: First (and corresponding) author 40% and Remaining contributors 60%, to be shared equally.

- In the event the **JAIN** member publishes a research paper in collaboration with authors affiliated to other Institutions, then the **JAIN** member will get 30% of the defined incentive.

Note:

For the full time teaching faculty members, incentives shall be applicable provided the member publishes more than one research paper per year. In such cases, each research paper in excess of one shall attract the above said incentives.

b. **Publication in journals indexed in Scopus/ Web of Science databases by Faculty Members of the JAIN involved in full time research in Research Centres / Schools of the JAIN**

In respect of such faculty members, incentives shall be applicable provided the member publishes more than 4 research papers with a minimum cumulative impact factor of 8 per year in Scopus / Web of Science indexed database. In such cases, where the number of papers exceed 4, each paper shall attract incentives as follows:

- **SNIP / JIF** score above 5 and below 8   : Rs 5,000/-

- **SNIP / JIF** score above 8 and below 10   : Rs 7,000/-

- **SNIP / JIF** score above 10 and below 15   : Rs 15,000/-

- **SNIP / JIF** score above 15 and below 20   : Rs 30,000/-

- **SNIP / JIF** score above 20   and below 25 : Rs 50,000/-

- **SNIP / JIF** score above 25   and below 30 : Rs 75,000/-

- **SNIP / JIF** score above 30   : Rs 100,000/-

- In addition to the above, they will be eligible for incentives based on the cumulative impact achieved during the year in Scopus/ Web of Sciences indexed journals, this will be only applicable if the cumulative impact factor exceeds 8. The incentive scale is given in **Annexure – II**.

- In the event of single author research papers, the full amount shall be paid to the author.

- In the event of two authors, the corresponding author will get 60% and the other author, the balance.

- In the event of multiple contributors for a research paper, the said amount shall be shared in the following proportion: First Author 40%; Corresponding Author 30%; Remaining contributors 30% to be shared equally. If the corresponding author coordinates a research project on which the said article is based, the sharing will be the First Author 30%; Corresponding Author 40%; Remaining Contributors 30% to be shared equally.

- In the event the first author is also the corresponding author, then the amount shall be shared in the following proportion: First (and corresponding) author 40% and Remaining contributors 60%, to be shared equally.

- In the event the **JAIN** member publishes a research paper in collaboration with authors affiliated to other Institutions, then the **JAIN** member will get 30% of the defined incentive.

c. **Publication in UGC-CARE listed Journals under Group-(I) (other than those indexed in Scopus/ Web of Science databases) by Full Time Teaching Faculty Members of the JAIN and Adjunct Faculty.**

The incentives shall be as follows:

- Research papers published in UGC- CARE Journals database Group-(I) (other than those indexed in Scopus/ Web of Science databases): Rs 5,000/- per paper.

- In the event of single author research papers, the full amount shall be paid to the author.

- In the event of two authors, the corresponding author will get 60% and the other author, the balance.

- In the event of multiple contributors for a research paper, the said amount shall be shared in the following proportion: First Author 40%; Corresponding Author 30%; Remaining contributors 30% to be shared equally. If the corresponding author coordinates a research project on which the said article is based, the sharing will be the First Author 30%; Corresponding Author 40%; Remaining Contributors 30% to be shared equally.

- In the event the first author is also the corresponding author, then the amount shall be shared in the following proportion: First (and corresponding) author 40% and Remaining contributors 60%, to be shared equally.

- In the event the **JAIN** member publishes a research paper in collaboration with authors affiliated to other Institutions, then the **JAIN** member will get 30% of the defined incentive.

8. **Award of Incentives for externally funded projects..**
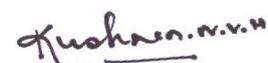
   Externally funded projects awarded by Faculty Members of the JAIN involved in full time research in Research Centres / Schools of the JAIN.

   In the event a faculty member is awarded projects amounting to Rs 10 lakhs and above in value during an academic year (July- June), he /she shall be eligible for an incentive of 5% of the total fund received by PI and shall be released based on the installment received by **JAIN** during the year.

   In the event of the **PI** leaving the services of the **JAIN** before completing the project, the incentive amount paid to him/her shall be refunded by the **PI** to the University and the project handed over to the Co-investigator. In such a case, the Co-Investigator shall be eligible for the said incentive.

Conclusion:

It is expected that the research output of the **JAIN** will increase manifold with the implementation of the proposed scheme. The faculty members of Schools / Research Centers will be motivated to actively take part in the pursuit of outcome based research initiatives of the **JAIN (Deemed-to-be University).**

Registrar

Jain (Deemed-to-be University)

RESEARCH COMMITTEE

As envisaged in the **JAIN (Deemed-to-be University)** Policy on Research Incentives, a Research Committee is constituted. The composition of the committee is as follows:

1. Vice Chancellor (Chairman)
2. Pro Vice Chancellor
3. Director – Academics and Planning
4. Registrar (Convener)
5. Joint- Registrar

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<u>Incentives applicable for Cumulative impact Factor (IF) achieved by Faculty members involved in Full Time Research in Research Centres / Schools</u>

SNIP / JIF score above 8 below 9	:	Rs 1000/-
SNIP / JIF score above 9 below 10	:	Rs 1500/-
SNIP / JIF score above 10 below 11	:	Rs 2000/-
SNIP / JIF score above 11 below12	:	Rs 2500/-
SNIP / JIF score above 12 below 13	:	Rs 3000/-
SNIP / JIF score above 13 below 14	:	Rs 3500/-
SNIP / JIF score above 14 below 15	:	Rs 4000/-
SNIP / JIF score above 15 below 16	:	Rs 5000/-
SNIP / JIF score above 16 below 17	:	Rs 5500/-
SNIP / JIF score above 17 below 18	:	Rs 6000/-
SNIP / JIF score above 18 below 19	:	Rs 6500/-
SNIP / JIF score above 19 below 20	:	Rs 7000/-
SNIP / JIF score above 20 below 21	:	Rs 8000/-
SNIP / JIF score above 21 below 22	:	Rs 9000/-
SNIP / JIF score above 22 below 23	:	Rs 10,000/-
SNIP / JIF score above 23 below 24	:	Rs 11,000/-
SNIP / JIF score above 24 below 25	:	Rs 12,000/-
SNIP / JIF score above 25 below 26	:	Rs 13,000/-
SNIP / JIF score above 26 below 27	:	Rs 15,000/-
SNIP / JIF score above 27 below 28	:	Rs 17,000/-
SNIP / JIF score above 28 below 29	:	Rs 19,000/-
SNIP / JIF score above 29 below 30	:	Rs 22,000/-
SNIP / JIF score above 30 below 31	:	Rs 24,000/-
SNIP / JIF score above 31 below 32	:	Rs 26,000/-
SNIP / JIF score above 32 below 33	:	Rs 28,000/-
SNIP / JIF score above 33 below 34	:	Rs 30,000/-
SNIP / JIF score above 34 below 35	:	Rs 32,000/-
SNIP / JIF score above 35 below 36	:	Rs 34,000/-
SNIP / JIF score above 36 below 38	:	Rs 36,000/-
SNIP / JIF score above 38 below 40	:	Rs 38,000/-
SNIP / JIF score above 40	:	Rs 40,000/-